



No s/n:

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:
Nelson

Serial No. Unknown

Filed: July 18, 2003

For: CATHETER SYSTEM AND
METHOD FOR ADMINISTERING
ANESTHESIA TO A PATIENT

Group Art Unit: Unknown
Examiner: Unknown

Atty. Dkt. No. 5871-00101

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on the date indicated below:

10-29-03
Date

Pamela Gerik
Pamela Gerik

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313

Sir:

Applicant requests consideration of ☒ the references listed on the attached Form PTO-1449 and/or ☐ the additional information identified below in paragraph 3. A copy of each reference listed on the Form PTO-1449 is enclosed.

1. This Information Disclosure Statement is submitted:

- a. ☐ within 3 months of the filing date of a national application other than a continued prosecution application under § 1.53(d);
☐ within 3 months of the date of entry of the national stage as set forth in § 1.491 in an International application;
☒ before the mailing date of a first Office Action on the merits; or
☐ before the mailing of a first Office Action after the filing of a request for continued examination under § 1.114.
- b. ☐ after the events of above paragraph 1a and prior to the mailing date of a final Office Action or Notice of Allowance, and thus: ☐ the certification of paragraph 2 below is provided, or ☐ a fee of \$180.00 is enclosed.
- c. ☐ after the mailing date of a final Office Action or a Notice of Allowance and prior to payment of the issue fee, and thus: the certification of paragraph 2 below is provided and a fee of \$180.00 is enclosed.

2. It is hereby certified:

- ☐ that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Statement, or
- ☐ that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in § 1.56 (c) more than three months prior to the filing of the Statement.

3. ☐ Consideration of the following additional information (including any co-pending or abandoned U.S. applications, prior uses and/or sales, etc.) is requested:

4. For each non-English language reference listed on the attached Form PTO-1449:

- ☐ reference is made to an English language translation submitted herewith, and/or
- ☐ reference is made to a foreign patent office search report (in the English language) submitted herewith, and/or
- ☐ reference is made to an English language translation of a foreign patent office search report submitted herewith, and/or
- ☐ reference is made to the concise explanation contained in the specification of the present application at page(s) _____, and/or
- ☐ reference is made to the concise explanation set forth below:

5. ☐ Applicant also offers the following comments for the Examiner's consideration:

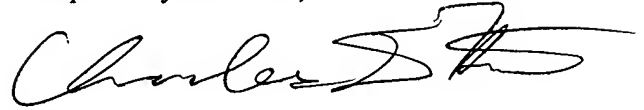
6. ☐ Also enclosed is a copy of a foreign search report citing these references.

7. ☐ The listed documents were brought to the attention of the Applicant(s) after payment of the issue fee in the captioned case. The documents were cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. Applicant(s) request this Information Disclosure Statement and attached Form PTO-1449 be placed in the file of the captioned application.

8. ☐ Applicant(s) requests that the Information Disclosure Statement and attached Form PTO-1449 and references, which are being filed before the grant of the patent and pursuant to 37 C.F.R. § 1.97(i), be placed in the file of the captioned application.

If any required fees are missing, the Commissioner is authorized to charge said fees to Conley Rose, P.C. Deposit Account No. 03-2769/5871-00101.

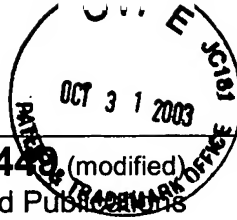
Respectfully submitted,

A handwritten signature in black ink, appearing to read "Charles D. Huston", with a stylized flourish at the end.

Charles D. Huston
Reg. No. 31,027
Attorney for Applicant(s)

CONLEY ROSE, P.C.
P. O. Box 684908
Austin, Texas 78768-4908
(512) 476-1400

Date: 27 Oct 03

**Form PTO-1449** (modified)
List of Patents and Publications
For Applicant's Information
Disclosure Statement
(Use several sheets if necessary)

ATTY. DKT. NO. 5871-00101

APPLICANT: Nelson

FILING DATE: July 18, 2003

SERIAL NO. Unknown

GROUP: Unknown

U.S. PATENT DOCUMENTS

EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	A1	4580573	4/1986	Quinn			
	A2	4775637	10/1988	Schmidt			
	A3	4917668	4/1990	Haindl			
	A4	4917670	4/1990	Hurley et al.			
	A5	4994036	2/1991	Biscopling et al.			
	A6	5004456	4/1991	Botterbusch et al.			
	A7	5085631	2/1992	Leighton			
	A8	5106376	4/1992	Mononen et al.			
	A9	5119832	8/1992	Xavier			
	A10	5135525	8/1992	Biscopling et al.			
	A11	5312374	5/1994	Gurmarnik			
	A12	5328479	7/1994	Gurmarnik			
	A13	5417208	5/1995	Winkler			
	A14	5512052	4/1996	Jesch			
	A15	5630802	5/1997	Moellmann et al.			
	A16	5976110	11/1999	Greengrass et al.			
	A17	6004293	12/1999	Bell			
	A18	6190370	2/2001	Tsui			
	A19	6193704	2/2001	Winters			

FOREIGN PATENT DOCUMENTS

EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES/NO

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the patent owner.